# Concomitant Tricuspid Annuloplasty in Patients Undergoing Totally Endoscopic Mitral Valve Surgery: A Propensity-Score Matched Analysis

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# ABSTRACT

**Background:** We aimed to evaluate the clinical outcomes of concomitant tricuspid annuloplasty (TAP) in patients undergoing totally endoscopic mitral valve surgery.

**Methods:** It is a single-center, retrospective study that enrolled a total of 173 patients who underwent mitral valve surgery combined with tricuspid annuloplasty between January 2019 and June 2020 in our institution. Patients who underwent totally endoscopic mitral valve surgery with concomitant tricuspid annuloplasty were categorized into the MIMVS-TAP group (N = 51), and patients who underwent mitral valve surgery with concomitant tricuspid annuloplasty through a median sternotomy were categorized into the MVS-TAP group (N = 122). The data collected included detailed demographic and perioperative data. Each patient in the MIMVS-TAP group was individually matched to a patient in the MVS-TAP group, using the propensity scores, and we obtained a matched sample of 51 patients in each group. Parametric and nonparametric tests were used to analyze outcomes.

**Results:** There were no differences in death rates or related major adverse events between the two groups after propensity score matched analysis. The total operation time was longer in the MIMVS + TAP group versus the MVS+TAP group, as were the mean duration of cardiopulmonary bypass time and the cross-clamp time. The mean duration of intensive care unit stay was longer in the MVS + TAP group compared with that of the MIMVS + TAP group, as was the duration of post-operative hospital stay.

**Conclusions:** Totally endoscopic mitral valve surgery with concomitant tricuspid annuloplasty can improve a patient's prognosis, with comparable short-term outcomes to those of the open approaches.

#### INTRODUCTION

Left-sided valvular heart disease is the most common cause of functional tricuspid regurgitation (FTR) in humans

Correspondence: Xiao-fu Dai, Department of Cardiovascular Surgery, Union Hospital, Fujian Medical University, Fuzbou, 350001 People's Republic of China (e-mail: gzlde0323@msn.com). [Dreyfus 2005]. Previous studies have shown that the prevalence of FTR in patients undergoing mitral valve surgery ranges from 8% to 45% [Cohen 1987; Di Mauro 2013; Izumi 2002]. Over the years, cases of late FTR after isolated mitral valve surgery have been reported, causing considerable morbidity and mortality in these patients [Izumi 2002; King 1984; McCartney 2019].

In terms of the surgical indications for tricuspid regurgitation (TR), while the general view on left-sided valve disease combined with severe FTR requiring surgical intervention is the same, indication for concomitant TAP at the time of mitral valve surgery (MVS) in patients without severe TR is ambiguous. According to recent research, more aggressive interventional strategies are needed because of the low event-free survival and dismal prognosis of FTR after the progression of symptoms [Bianchi 2009]. A study focused on the prognosis of patients with mild to moderate TR during MVS also supported this view [Kara 2015]. In summary, the prevailing view is that an aggressive application of tricuspid annuloplasty can achieve better clinical outcomes for patients undergoing MVS [Dreyfus 2005; Takano 2017; De Meester 2015; Pfannmueller 2013; Calafiore 2009].

The 2017 valvular heart disease guidelines recommend that treatment for FTR should be performed early to avoid irreversible right ventricular dysfunction, and TAP should be performed at the same time of left heart valve surgery [Baumgartner 2017].

Although most of the appellate studies have been completed in the context of median sternotomy open heart surgery, the field of minimally invasive cardiac surgery has rapidly been developing over the past two decades. Previous studies have shown that minimally invasive cardiac surgery has significant benefits for patients, such as no disruption of the sternum structure, significantly relieved postoperative pain, reduced intensive care unit length of stay and postoperative hospital stay, and improved appearance of surgical incisions [Ritwick 2013]. However, there were few studies focusing on concomitant TAP in minimally invasive mitral valve surgery (MIMVS). Besides, the clinical outcomes of patients undergoing concomitant TAP during the MIMVS remain unclear.

Therefore, we conducted a retrospective analysis of patients who underwent totally endoscopic mitral valve surgery with concomitant tricuspid annuloplasty in our institution from January 2019 to June 2020. The propensity score matching (PSM) was performed to compare a minimally

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ltem	Overall study patients			Propensity-matched patients		
	MVS-TAP	MIMVS-TAP	Р	MVS-TAP	MIMVS-TAP	Р
Female	56	32	0.043	30	32	.685
Age (years)	$\textbf{60.52} \pm \textbf{9.97}$	57.25 ± 9.21	0.046	$\textbf{58.29} \pm \textbf{10.75}$	57.25 ± 9.21	.601
BMI (kg/m²)	22.58 ± 1.73	22.46 ± 1.56	0.671	22.76 ± 1.70	22.46 ± 1.56	.344
Hypertension	27	14	0.453	13	14	.822
Atrial fibrillation	48	22	0.643	22	22	1
NYHA class (median)	Ш	Ш	0.975	П	Ш	.882
LVED (mm)	57.80 ± 8.57	58.29 ± 7.95	0.726	$58.55 \pm 8.46$	58.29 ± 7.95	.876
LVEF (%)	57.07 ± 5.41	56.02 ± 5.57	0.250	55.56 ± 5.49	56.02 ± 5.57	.676
SPAP (mmHg)	45.07 ± 13.23	44.84 ± 16.38	0.923	47.43 ± 13.31	$44.84 \pm \textbf{16.38}$	.383
Lesion types of MV	-	-	0.583	-	-	.903
Mitral stenosis	38	20	-	18	20	
Mitral insufficiency	50	19	-	21	19	
Mix lesion	34	12	-	12	12	
TR grade	-	-	0.930	-	-	.787
1	6	3	-	4	3	
2	40	15	-	12	15	
3	66	28	-	27	28	
4	10	5	-	8	5	

Table 1. Demographic and preoperative data compared between the two groups

BMI, body mass index; NYHA class: New York Heart Association functional classification; LVED, left ventricular end diastolic diameter; LVEF, left ventricular ejection fraction; SPAP, pulmonary artery systolic pressure

invasive approach with median sternotomy approach tricuspid annuloplasty. We also aimed to evaluate the relevant surgical parameters, surgery-related complications, short-term survival rates, and to explore the advantages and disadvantages of concomitant tricuspid annuloplasty in patients undergoing totally endoscopic mitral valve surgery.

# MATERIALS AND METHODS

#### Patients

We retrospectively analyzed the clinical data of the patients who visited our department from January 2019 to June 2020 to undergo concomitant tricuspid annuloplasty, during mitral valve surgery via a totally endoscopic approach or median sternotomy. All the participants had mitral valve disease and FTR, which can be treated with surgery under thoracoscopic guidance. All patients had been given alternative surgical treatment options during the preoperative interviews. The inclusion criteria were as follows: (1) Primary mitral valve disease with functional tricuspid regurgitation; and (2) No prior cardiac surgery or right thoracic surgery. The exclusion criteria were as follows: (1) Organic tricuspid regurgitation; (2) Severe peripheral arterial disease in lower limbs; (3) Severe cardiac insufficiency (EF<35%); (4) Thoracic deformity; (5)

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Conversion to median sternotomy during totally endoscopic cardiac surgery; and (6) Requires concomitant aortic valve surgery and/or coronary artery bypass grafting.

#### **Echocardiography**

All patients underwent a transthoracic or transesophageal two-dimensional B-mode, M-mode, and Doppler echocardiography before operation to measure cardiac dimensions and the severity of valve regurgitation as recommended by the American Society of Echocardiography. The tricuspid annulus diameter and the severity of TR were assessed, according to current standard guidelines, and were graded on a scale from 1 to 4 (1=mild, 2=moderate, 3=moderate to severe, 4=severe) [Baumgartner 2017; Zoghbi 2003; Lang 2005; Quiñones 2002].

The indications for tricuspid annuloplasty were as follows: 1) moderate TR on preoperative echocardiography; 2) significant annular dilation assessed on pre-bypass echocardiography at end-diastolic diameter in the four-chamber view as an annular >40 mm; or 3) in unspecified cases, on the basis of direct assessment, intraoperative saline testing, and comparison of the anterior and posterior leaflet surface areas with the annulus size [Carpentier 2010]. Whether or not to perform TAP was affected by the degree of TR, tricuspid annular dimension and ventricular function, but ultimately was judged

ltem	MVS-TAP	MIMVS-TAP	Р
Mitral valve surgery strategy	-	-	.219
Mitral valve replacement	29	35	
Mitral valve repair	22	16	
Tricuspid valve surgery strategy	-	-	.514
Kay	0	2	
De Vega	16	17	
Annuloplasty ring	35	32	
Annuloplasty ring size (Median)	28	28	1
Maze procedure	7	4	.338
Overall procedure duration (min)	210.31 ± 25.64	233.90 ± 35.23	<.001
CPB time (min)	129.00 ± 20.67	170.80 ± 25.10	<.001
Aorta cross-clamp time (min)	86.71 ± 14.51	111.63 ± 16.77	<.001

Table 2. Intra-operative data compared between two groups after  $\mathsf{PSM}$ 

by the attending surgical surgeon. Because detailed tricuspid valve annular dimension was not routinely documented during the procedure, annular size was only considered an optional indicator for combination of tricuspid valve repair.

Operative mortality was defined as any death within 30 days or at any time, during the same hospital stay. Morbidity after heart valve interventions was defined, according to the guidelines published by Akins [Akins 2008]. Severe adverse events recorded in the present study included stroke, heart block, reintubation, new-onset renal failure, and surgical re-exploration. This study was approved and monitored by the ethics committee of the Fujian Union Hospital. Informed consent was waived as it was a retrospective study.

## Surgical technique

For patients undergoing totally endoscopic cardiac surgery, after general anesthesia with double-lumen endotracheal intubation, the patients were positioned in a supine position with the right chest elevated to 20° to 30°. Femoral artery cannula and a single two-stage femoral venous cannula were used to establish cardiopulmonary bypass (CPB) through a 3-4 cm groin incision under transesophageal echocardiography guidance [Bonaros 2006].

The totally endoscopic procedure was performed via an endoscopic right minithoracotomy. Three ports in the right side of the chest wall were introduced for observation and operation [Ma 2011]. With the help of the transthoracic clamp techniques, the ascending aorta was clamped [Murzi 1997]. Immediately after, the antegrade HTK solution was applied for cardioplegia, and the right atrium was opened. Consecutively, the stay sutures were placed to retract the margin of the right atrium, then set the femoral venous cannula in the proper position until the atrial septum clearly can be seen [Ma 2011; Huang 2020]. The mitral valve was performed through the transseptal approach. The left atrium was entered via the atrial septal incision, two sets of stay sutures were used to snare the margin of the atrial septum and were pulled out of the port and properly secured. After a direct assessment of the valve lesion, MIMVS was performed. Continuous sutures were used to close the atrial septal incision and tricuspid annuloplasty immediately was performed following this. TR was repaired by De Vega annuloplasty, Kay annuloplasty, or using a tricuspid annuloplasty ring (Edwards MC3 Tricuspid Annuloplasty Ring, Edwards Lifesciences, USA). Surgical techniques were determined, based on each surgeon's preference. After carefully de-airing of the heart, CPB was disconnected, and all incisions were closed under a transesophageal echocardiography evaluation.

For patients undergoing median sternotomy valve surgery, the patient was placed in the supine position with endotracheal intubation. Following median sternotomy, the ascending aorta canula was inserted into the aorta, and the bicaval or right atrial venous cannulas were used to establish CPB. After the aorta was clamped, the cardioplegia was performed with the HTK solution, and mitral valve surgery and tricuspid annuloplasty were performed afterward.

#### Statistical analysis

SPSS software (IBM SPSS 24.0, SPSS Inc) was used to conduct all analyses, and *P*-values <.05 were defined as statistically significant. PSM was performed to account for differences in patient characteristics at baseline to minimize selection bias. The propensity score for each patient was calculated by logistic regression with adjustment for nine key baseline variables, including gender, age, hypertension, atrial fibrillation, New York Heart Association classification, body mass index, left ventricular end diastolic diameter, left ventricular ejection fraction, and pulmonary artery systolic pressure. Nearest-neighbor matching with a 0.05 caliper width without replacement was conducted when matching patients 1:1. The propensity matching score was used to match 173 patients, and a total of 51 pairs (102 cases) successfully were matched.

All quantitative data with normal distribution was expressed as mean  $\pm$  standard deviation. For nonnormally distributed variables, the Mann-Whitney U test was applied. The independent samples t-test or ANOVA were used for continuous variables. For categorical data, the chi-square test or Fisher's exact test was applied.

## RESULTS

One hundred seventy-three consecutive patients were selected for this study, 51 of whom underwent cardiac surgery with the totally endoscopic approach (MIMVS-TAP group) and 122 of whom underwent surgery through a median sternotomy (MVS-TAP group).

1. Comparison results of baseline data between two groups before PSM. In terms of baseline characteristics collected from the medical records, the age of the MIMVS-TAP group was younger than that of the MVS-TAP group (57.25

ltem	MVS-TAP	MIMVS-TAP	Р
Early mortality	1	0	1
Valve-related events	1	0	1
Embolism	0	0	NS
Bleeding event	1	1	1
Reintervention	1	1	1
Reintubation	1	1	1
Heart block	0	1	1
Wound problem	0	2	.495
Pneumothorax	0	3	.243
Subcutaneous emphysema	0	2	.495
Stroke	1	0	1
Renal failure	2	1	1
LVED (mm)	55.55 ± 6.34	55.94 ± 6.83	.764
LVEF (%)	57.53 ± 6.26	57.63 ± 6.95	.940
SPAP (mmHg)	33.14 ± 7.59	33.53 ± 7.41	.792
ICU stay (hours)	18.51 ± 3.00	16.44 ± 2.38	<.001
Postoperative hospital stay (days)	7.02 ± 1.07	$5.45 \pm 2.04$	<.001

Table 3. Postoperative Data compared between two groups after  $\mathsf{PSM}$ 

LVED, left ventricular end diastolic diameter; LVEF, left ventricular ejection fraction; SPAP, pulmonary artery systolic pressure; ICU, intensive care unit

 $\pm$  9.21 versus 60.52  $\pm$  9.97 years, P = .046), and there were more female patients in the MIMVS-TAP group (56 women, 45.90% versus 32 women, 62.75%, P = .043). There was no statistical significance between the two groups with respect to the rest characteristics, such as hypertension, atrial fibrillation, New York Heart Association classification, body mass index, left ventricular end diastolic diameter, left ventricular ejection fraction, and pulmonary artery systolic pressure. (Table 1) The difference in average age and gender distribution between the two groups might be due to that younger patients and women had higher aesthetic requirements and were more likely to tolerate longer surgical time, resulting in age and gender differences between the two groups.

Since the unmatched baseline demographic and clinical data between the two groups will definitely bias the comparison, the data evaluation has to be made according to age and gender distribution. Therefore, it is necessary to select patients with similar conditions for comparison between the two groups. In this case, PSM could achieve a statistical effect of approximately random assignation of patients between the two groups.

2. Comparison results of baseline data between two groups after PSM. The demographic characteristics and baseline clinical information were well matched between the two groups. The descriptive statistics for the study population are shown in Table 1. There were no significant differences in the baseline data and lesion type of mitral valve and TR grade between the two groups.

3.Comparison of perioperative outcomes between the two groups after PSM

There were no significant differences in mitral and tricuspid valve surgery strategies. In patients undergoing tricuspid surgery, the median annuloplasty ring size in two groups were both 28 mm (P = 1). The concomitant maze procedure also was not significantly different between the two groups. But the total operation time (210.31 ± 25.64 versus 233.90 ± 35.23 mins, P < .001), CPB time (129.00 ± 20.67 versus 170.80 ± 25.10 mins, P < .001) and aorta cross-clamp time (86.71 ± 14.51 versus 111.63 ± 16.77 mins, P < .001) of MIMVS-TAP group were significantly longer than those of the MVS-TAP group (Table 2).

The postoperative data is detailed in Table 3. Only one death among the patients was reported in the study. The patient in the MVS-TAP group received ECMO support, due to postoperative low cardiac output syndrome, and finally died of multiple organ failure. There were no significant differences observed in postoperative adverse events between the two groups.

There were no postoperative embolization events in the MVS-TAP group. Gastrointestinal bleeding occurred in one patient, due to anticoagulation in MVS-TAP group. An additional endotracheal re-intubation was performed in the intensive care unit on one patient, who underwent redo mitral valve replacement for severe mitral regurgitation and hemo-globinuria after mitral valve repair in the MVS-TAP group. Two patients suffered from postoperative acute renal failure, including the one who received re-operation mentioned above. There were no poor wound healing problems, subcutaneous emphysema, and pneumothorax in this group. One patient had a sudden loss of consciousness after surgery, and brain hemorrhage subsequently was confirmed by emergency computed tomography. The patient was transferred to the department of neurology for further treatment.

One patient in the MIMVS-TAP group underwent reexploration immediately after surgery to stop the bleeding from the incision site. A patient received endotracheal intubation again, due to low blood oxygen saturation in the intensive care unit after operation. During hospitalization, this patient developed acute renal insufficiency and was treated with hemodiafiltration and eventually recovered and was discharged from the hospital. In the MIMVS-TAP group, incisional hydrops was observed in one patient. One patient had lymphatic leakage in the inguinal incision, three patients had pneumothorax, and two patients had subcutaneous emphysema. One patient who underwent ablation for atrial fibrillation was diagnosed with temporary third-degree heart block, and the temporary pacemaker was implanted. Subsequent reexamination before hospital discharge revealed incomplete right bundle branch block and left bundle branch block, and the patient successfully was discharged after cutting off the pacemaker.

In terms of the lengths of intensive care unit stay (18.51  $\pm$  3.00 versus 16.44  $\pm$  2.38 hours, P < .001) and postoperative hospital stay (7.02  $\pm$  1.07 versus 5.45  $\pm$  2.04 days, P < .001

.001), the MIMVS-TAP group was statistically shorter than the MVS-TAP group. In terms of cardiac structure and function, postoperative echocardiography showed no significant differences in left ventricular end-diastolic diameter, left ventricular ejection fraction, and pulmonary artery pressure. No statistical differences existed between the two groups for the incidence of conduction blocks and stroke.

## DISCUSSION

According to the latest heart valve guidelines, for patients with FTR who need left-sided valve surgery, tricuspid annuloplasty should be considered in patients suffering from severe TR or mild-to-moderate TR with a tricuspid annular dilatation ( $\geq$ 40 mm or >21mm/m<sup>2</sup>) or right heart failure. The guidelines state that tricuspid annuloplasty can be beneficial for mild, moderate, or severe FTR during mitral valve surgery for patients with a dilated tricuspid annulus [Baumgartner 2017; Nishimura 2014].

However, these guidelines have an insufficient focus on totally endoscopic cardiac surgery. Since the 1990s, minimally invasive technique rapidly has developed in the cardiovascular field and gradually expanded its indications. Early results showed that there were no significant differences in the incidence of immediate and long-term complications and longterm survival rates between endoscopic and conventional open-heart mitral valve surgeries [Ritwick 2013; Lucà 2013; Grossi 2001]. However, most of the studies were limited to a small number of patients, lacking large or multicenter studies. In addition, these studies did not specifically focus on mitral valve disease with FTR. It is widely known that minimally invasive cardiac surgery is complicated to operate, owing to limited operating space and usually requiring long operating time and special perfusion strategy. Due to the physical limitations of MIMVS, unique retrograde perfusion strategy and inadequate de-airing theoretically lead to a higher incidence of neurological complications, which were a primary concern. Therefore, many have questioned the potential damage to the neurological system in patients undergoing endoscopic cardiac surgery, as well as the increased operation time [Grossi 2012; Gammie 2010].

Video-assisted mitral and tricuspid valve surgery undoubtedly increases the complexity of the operation and the duration of CPB time. Therefore, we conducted a study that focuses on the clinical outcome of concomitant tricuspid annuloplasty in totally endoscopic mitral valve surgery.

In our study, we found that the MIMVS-TAP group was younger and mostly female. The reason why we need to match the propensity score is, after analyzing the population sample, we found that there were significant differences between the two groups in age and gender. It may be that young people and women are more concerned about the aesthetic appearance of the incision and tend to choose MIMVS.

The main finding of this study was that patients receiving MIMVS+TAP due to FTR had comparable (or equally good) short-term outcomes compared with patients receiving MVS+TAP via median sternotomy. In contrast with the concern that increased operative time and difficulty manipulate of the MIMVS may lead to increased incidence of cerebrovascular accidents and third-degree heart block, there were no differences in short-term mortality or sever events, but there were advantages in terms of lengths of intensive care unit and postoperative hospital stays. Our study demonstrated similar results in patients undergoing totally endoscopic and conventional mitral valve surgery combined with tricuspid annuloplasty by PSM analysis. Concomitant TAP with MIMVS can safely be performed without increasing early mortality and morbidity, including heart block and stroke.

One of the common questions is whether the minimally invasive tricuspid valve repair is as effective as the median surgery. We noted that there were no significant differences in left ventricular structure and function or pulmonary artery pressure between the two groups after surgery. We did not measure detailed right ventricular parameters, but previous studies have shown that TAP at MVS can reverse right ventricular remodelling and improve functional status, especially in patients with valvular dilatation [Baumgartner 2017; Chikwe 2015].

The concurrent TAP during MIMVS had an impact on operative time. We found significant increases in cross-clamp time and CPB time, which led to an increase of nearly a half hour in the total surgical time.

In general, our study stated that mortality and morbidity, such as stroke and conduction block rates in MIMVS were not significantly different compared with median surgery after PSM. In addition, our research highlighted that minimally invasive cardiac surgery has great advantages over traditional median sternotomy due to its non-destruction of sternal structure, quality of life and aesthetic appearance of the incision [Huang 2020; Modi 2008; Iribarne 2012].

Despite these promising results, our study still has some limitations. First, this study only analyzed the totally endoscopic approach, which is only one of the minimally invasive cardiac surgery methods. Currently, for the mitral valve, there are several minimally invasive methods widely used, including lower hemisternotomy, direct-vision right minithoracotomy, endoscopic right minithoracotomy, and robotic-assisted right minithoracotomy. Which surgical approach is more appropriate for patients needs to be compared in multiple centers in order to develop surgical selection strategies based on treatment effectiveness and economic factors. Second, there are various techniques for tricuspid valve repair, and the main methods we use are tricuspid annuloplasty ring, De Vega, and kay. The long-term results of the different tricuspid valve repair techniques require longer-term follow-up studies. Third, the cohort was small, and the follow-up period was short. Long-term follow up is needed to clarify the longterm effects of concomitant TAP with MIMVS and whether concomitant TAP can improve the long-term prognosis of patients as well as median sternotomy [De Meester 2015; Chikwe 2015]. However, despite these limitations, our findings still provide new evidence for the selection of surgical approaches in clinical practice.

# CONCLUSIONS

Totally endoscopic mitral valve surgery with concomitant tricuspid annuloplasty is safe to perform, even with a long surgery duration. We found no difference in surgery-related complications. The only difference was that patients in MIMVS-TAP group had shorter intensive care unit stay and postoperative hospital stay than those receiving MVS-TAP.

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